SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

		_ *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer						
1. Name and Address of Reporting Person*			P10, Inc. [PX]	(Check all applicable)						
Feinglass Michael				Director X 10% Owner						
(Last) C/O P10, INC	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/07/2023	Officer (give title X Other (specify below) See Remarks						
4514 COLE AVENUE, SUITE 1600			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)				X Form filed by One Reporting Person						
DALLAS	ТХ	75205		Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication							
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							
		Table I. Nam D	Derivative Securities Acquired Disposed of an Bon	-fieldly Owned						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Stock	11/07/2023		С		457,496	A	(1)(2)	457,496	D	
Class A Common Stock	11/30/2023		S		10,000	D	\$ 10.1012 ⁽³⁾	447,496	D	
Class A Common Stock	12/01/2023		S		20,000	D	\$10.1278(4)	427,496	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5. Number of 6. Date Exercisable and 7. Title and Amount 1. Title of 3. Transaction 3A. Deemed 8. Price of 9. Number of 10. 11. Nature Derivative Security (Instr. 3) Execution Date, if any (Month/Day/Year) Derivative Securities Acquired (A) Conversion Date Transaction Expiration Date (Month/Day/Year) of Securities Derivative derivative Ownership of Indirect Beneficial Underlying Derivative Security or Exercise Price of (Month/Day/Year) Code (Instr. 8) Security (Instr. 5) Securities Form: Direct (D) Beneficially Ownership or Disposed of (D) (Instr. 3, 4 and 5) Derivative (Instr. 3 and 4) Owned or Indirect (Instr. 4) Following Security (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) Expiration Date Number Date ν (A) (D) Code Exercisable Title of Shares Class B Class A 457 496 (1)(2)11/07/2023 C 457 496 (1)(2)(1)(2)Commo Stock (1)(2)457,497 D Commor Stock

Explanation of Responses:

1. Holders of Class B Common Stock may elect to convert such shares on a one-for-one basis into Class A Common Stock at any time. After a Sunset (as defined below) becomes effective, each share of ClassB Common Stock will automatically convert into Class A Common Stock.

2. Continued from Footnote 1: A "Sunset" is triggered by any of the earlier of the following: (i) the Sunset Holders (as defined in the amended and restated certificate of incorporation of the Issuer (the"Charter")) cease to maintain direct or indirect beneficial ownership of 10% of the outstanding shares of Class A Common Stock (determined assuming all outstanding shares of Class B Common Stock havebeen converted into Class A Common Stock), (ii) the Sunset Holders collectively cease to maintain direct or indirect beneficial ownership of at least 25% of the aggregate voting power of the outstandingshares of Common Stock, and (iii) upon the tenth anniversary of the effective date of the Charter. On November 7, 2023, the Reporting Person elected to convert 457,496 shares of Class B Common Stock into an equivalent number of shares of Class A Common Stock.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.10 to \$10.11, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes to this Form 4.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.11 to \$10.14, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes to this Form 4.

Remarks:

This Form 4 is being filed by Mr. Feinglass (the "Reporting Person"). The Reporting Person may be deemed to be a member of a Section 13(d) group that collectively beneficially owns more than 10% of the Issuer's outstanding Common Stock. The securities reported herein do not include any securities held by any group member other than the Reporting Person, as such securities are being reported in separate Form 4 filings.

/s/Amanda Coussens as Attorney-in-Fact for the Reporting Person

12/04/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.